PAGE 1 / 30

Image# 201604209012612407

FEC

REPORT OF RECEIPTS AND DISBURSEMENTS

FORM 3X F	or Other Than An Autho	rized Committee	Office U	Jse Only
1. NAME OF COMMITTEE (in full)	TYPE OR PRINT ▼	Example: If typing, type over the lines.	12FE4M5	
Securities Industry and	Financial Markets Ass	ociation Political Actio	on Committee	
ADDRESS (number and street)	8th Floor			
Check if different than previously reported. (ACC)	Washington		DC 2000	5
2. FEC IDENTIFICATION NU	MBER ▼ CITY	<u> </u>	STATE A	ZIP CODE ▲
C C00431312	3. IS T	HIS ORT X NEW (N) OR	AMENDED (A)	
4. TYPE OF REPORT (Choose One) (a) Quarterly Reports: April 15	(b) Monthly Report Due On: Mar 20	(M3) Jun 20 (M6)	Aug 20 (M8) Sep 20 (M9) Oct 20 (M10)	Nov 20 (M11) (Non-Election Year Only) Dec 20 (M12) (Non-Election Year Only) Jan 31 (YE)
Quarterly Report (Q: July 15 Quarterly Report (Q: October 15 Quarterly Report (Q: January 31 Year-End Report (YE	(c) 12-Day PRE-Election Report for the:	Primary (12P) Convention (12C)	General (12G) Special (12S)	Runoff (12R) in the State of
July 31 Mid-Year Report (Non-election Year Only) (MY) Termination Report (TER)	(d) 30-Day POST-Election Report for the:	General (30G)	Runoff (30R)	Special (30S) in the State of
5. Covering Period 03	01 2016	through 03)16
I certify that I have examined thi		knowledge and belief it is tru	ue, correct and comple	ete.
Type or Print Name of Treasurer $\frac{Mr.\ Kr.}{r}$	Mr. Kenneth E. Bentsen Jr.	[Electronically Filed]	Date 04 20	0 2016
NOTE: Submission of false, errone	ous, or incomplete information m	nay subject the person signing t	his Report to the penalt	ties of 2 U.S.C. §437g.
Office Use Only				C FORM 3X Rev. 12/2004

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003) Page 2

Write or Type Committee Name

Securities Industry and Financial Markets Association Political Action Committee

03 2016 03 2016 Report Covering the Period: 31 From: To: **COLUMN A COLUMN B** This Period Calendar Year-to-Date (a) Cash on Hand 95134.17 January 1, 2016 (b) Cash on Hand at 55838.48 Beginning of Reporting Period..... 54276.38 86806.32 (c) Total Receipts (from Line 19) (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 181940.49 110114.86 6(a) and 6(c) for Column B)..... 27197.48 99023.11 Total Disbursements (from Line 31)...... Cash on Hand at Close of 8. Reporting Period 82917.38 82917.38 (subtract Line 7 from Line 6(d))..... Debts and Obligations Owed TO the Committee (Itemize all on 0.00 Schedule C and/or Schedule D) 10. Debts and Obligations Owed BY the Committee (Itemize all on 0.00 Schedule C and/or Schedule D)

×

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

Securities Industry and Financial Markets Association Political Action Committee

Total This Period 30920.75 658.15 31578.90 0.00 22500.00 54078.90 0.00 0.00 197.48	Calendar Year-to-Date 55505.59 3376.14 58881.73 0.00 27500.00 86381.73 0.00 0.00 0.00 424.59
658.15 31578.90 0.00 22500.00 54078.90 0.00 0.00	3376.14 58881.73 0.00 27500.00 86381.73 0.00 0.00
658.15 31578.90 0.00 22500.00 54078.90 0.00 0.00	3376.14 58881.73 0.00 27500.00 86381.73 0.00 0.00
658.15 31578.90 0.00 22500.00 54078.90 0.00 0.00	3376.14 58881.73 0.00 27500.00 86381.73 0.00 0.00
31578.90 0.00 22500.00 54078.90 0.00 0.00	58881.73 0.00 27500.00 86381.73 0.00 0.00
0.00 22500.00 54078.90 0.00 0.00	0.00 27500.00 86381.73 0.00 0.00
22500.00 54078.90 0.00 0.00	27500.00 86381.73 0.00 0.00
0.00 0.00 0.00	86381.73 0.00 0.00
0.00 0.00 0.00	86381.73 0.00 0.00
0.00	0.00
0.00	0.00
0.00	0.00
0.00	0.00
0.00	0.00
0.00	0.00
197.48	424.59
197.48	424.59
10110	121.00
0.00	0.00
0.00	0.00
0.00	0.00
0.00	0.00
0.00	0.00
	, , , ,
0.00	0.00
0.00	3.00
0.00	0.00
	0.00

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

	II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
(a) Allo	ng Expenditures: —— cated Federal/Non-Federal ivity (from Schedule H4)		
(i)	Federal Share	0.00	0.00
(ii)	Non-Federal Share	0.00	0.00
. ,	ner Federal Operating		
	penditures	197.48	523.11
` '	al Operating Expenditures d 21(a)(i), (a)(ii), and (b))▶	197.48	523.11
	rs to Affiliated/Other Party	0.00	200
Commit 3. Contribu	teestions to	0.00	0.00
Federal and Oth	Candidates/Committees ner Political Committees	27000.00	98500.00
-	dent Expenditures	0.00	0.00
5. Coordin	hedule E)ated Party Expenditures	0.00	0.00
(2 U.S.) (use Sc	C. §441a(d)) hedule F)	0.00	0.00
6 Loan D	epayments Made	0.00	0.00
o. Loan n	opaymonto Made		
	Mades of Contributions To:	0.00	0.00
(a) Ind	ividuals/Persons Other an Political Committees	0.00	0.00
		0.00	0.00
	itical Party Committees	0.00	0.00
` '	ch as PACs)	0.00	0.00
(d) Tot	al Contribution Refunds		
` '	d Lines 28(a), (b), and (c))▶	0.00	0.00
O Other F	isbursements	0.00	0.00
29. Other D	isbursements	0.00	0.00
	Election Activity (2 U.S.C. §431(20))		
, ,	ocated Federal Election Activity m Schedule H6)		
	Federal Share	0.00	0.00
(ii)	"Levin" Share	0.00	0.00
	deral Election Activity Paid Entirely		
(a) T	With Federal Funds	0.00	0.00
. ,	al Federal Election Activity (add nes 30(a)(i), 30(a)(ii) and 30(b))▶	0.00	0.00
4 Table 5	ahumannanta (add Linux 24/s). 22	, , , , , , , , , , , , , , , , , , , ,	, , , , , , , , , , , , , , , , , , , ,
	sbursements (add Lines 21(c), 22, 25, 26, 27, 28(d), 29 and 30(c))	27197.48	99023.11
		2.1131.40	35020.11
	ederal Disbursements et Line 21(a)(ii) and Line 30(a)(ii)		
	ne 31)	27197.48	99023.11

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page **5**

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
3. Total Contributions (other than loans) (from Line 11(d), page 3)	54078.90	86381.73
4. Total Contribution Refunds (from Line 28(d))	0.00	0.00
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	54078.90	86381.73
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	197.48	523.11
7. Offsets to Operating Expenditures (from Line 15, page 3)	197.48	424.59
Net Operating Expenditures (subtract Line 37 from Line 36)	0.00	98.52

	FOF	R LINE	NU	MBER	:	PAGE	6 C)F	30
Use separate schedule(s)	(che	ck only	or	ne)					
for each category of the Detailed Summary Page	X	11a		11b		11c	12		
,g		13		14		15	16		٦1

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) Kenneth E. Bentsen Jr. Date of Receipt Mailing Address 1101 New York Ave NW 8th Floor 02 2016 City State Zip Code Transaction ID: 2016022617829-1000 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 192.35 federal political committee. Memo Item Name of Employer Occupation President & CEO Securities Industry and Financial Mark Receipt For: Aggregate Year-to-Date ▼ Primary General 1346.45 Other (specify) Full Name (Last, First, Middle Initial) B. Kenneth E. Bentsen Jr. Date of Receipt Mailing Address 1101 New York Ave NW 8th Floor 03 16 2016 City State Zip Code Transaction ID: 20160315112856-1000 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 192.35 federal political committee. Memo Item Name of Employer Occupation Securities Industry and Financial Mark President & CEO Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1346.45 Full Name (Last, First, Middle Initial) c. Kenneth E. Bentsen Jr. Date of Receipt Mailing Address 1101 New York Ave NW 30 8th Floor 03 2016 City State Zip Code Transaction ID: 20160328111838-1000 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing С 192.35 federal political committee.

1346.45

Occupation President & CEO

Aggregate Year-to-Date ▼

Name of Employer

Primary

Other (specify)

Receipt For:

Securities Industry and Financial Mark

General

Memo Item

FOR LINE NUMBER: PAGE 7 OF 30 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) Ananias Blocker Date of Receipt Mailing Address 1101 New York Ave NW 8th Floor 02 2016 City State Zip Code Transaction ID: 2016022617829-1002 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 300.00 federal political committee. Memo Item Name of Employer Occupation Exec VP, Pub Pol & Advoc Securities Industry and Financial Mark Receipt For: Aggregate Year-to-Date ▼ Primary General 2100.00 Other (specify) Full Name (Last, First, Middle Initial) B. Ananias Blocker Date of Receipt Mailing Address 1101 New York Ave NW 8th Floor 03 16 2016 City State Zip Code Transaction ID: 20160315112856-1002 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 300.00 federal political committee. Memo Item Name of Employer Occupation Securities Industry and Financial Mark Exec VP, Pub Pol & Advoc Receipt For: Aggregate Year-to-Date ▼ Primary General 2100.00 Other (specify) Full Name (Last, First, Middle Initial) Ananias Blocker Date of Receipt Mailing Address 1101 New York Ave NW 30 2016 8th Floor City Zip Code State Transaction ID: 20160328111838-1002 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing С 300.00 federal political committee. Memo Item Name of Employer Occupation Exec VP, Pub Pol & Advoc Securities Industry and Financial Mark Receipt For: Aggregate Year-to-Date ▼ Primary General 2100.00 Other (specify) 900.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 8 OF Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

30

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) Kyle L. Brandon Date of Receipt Mailing Address 120 Broadway 35th Floor 02 2016 City State Zip Code Transaction ID: 2016022617829-1003 NY New York 10271 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer Occupation MD, Dir of Research Securities Industry and Financial Mark Receipt For: Aggregate Year-to-Date ▼ Primary General 673.05 Other (specify) Full Name (Last, First, Middle Initial) **B.** Kyle L. Brandon Date of Receipt Mailing Address 120 Broadway 35th Floor 03 16 2016 City State Zip Code Transaction ID: 20160315112856-1003 NY New York 10271 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer Occupation Securities Industry and Financial Mark MD, Dir of Research Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 673.05 Full Name (Last, First, Middle Initial) c. Kyle L. Brandon Date of Receipt Mailing Address 120 Broadway 30 35th Floor 2016 City Zip Code State Transaction ID: 20160328111838-1003 NY New York 10271 Amount of Each Receipt this Period FEC ID number of contributing С 96.15 federal political committee. Memo Item Name of Employer Occupation MD, Dir of Research Securities Industry and Financial Mark Receipt For: Aggregate Year-to-Date ▼ Primary General 673.05 Other (specify) 288.45 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 9 OF 30 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) Kimberly H. Chamberlain Date of Receipt Mailing Address 1101 New York Ave NW 8th Floor 2016 City Zip Code State Transaction ID: 20160315112856-1004 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 38.50 federal political committee. Memo Item Name of Employer Occupation MD, Associate Gen Counsel Securities Industry and Financial Mark Receipt For: Aggregate Year-to-Date ▼ Primary General 269.50 Other (specify) Full Name (Last, First, Middle Initial) B. Kimberly H. Chamberlain Date of Receipt Mailing Address 1101 New York Ave NW 8th Floor 03 30 2016 City State Zip Code Transaction ID: 20160328111838-1004 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 38.50 federal political committee. Memo Item Name of Employer Occupation Securities Industry and Financial Mark MD, Associate Gen Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 269,50 Full Name (Last, First, Middle Initial) c. Cheryl L. Crispen Date of Receipt Mailing Address 1101 New York Ave NW 02 03 2016 8th Floor City Zip Code State Transaction ID: 2016022617829-1006 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing С 96.15 federal political committee. Memo Item Name of Employer Occupation EVP, Communication & Mktg Securities Industry and Financial Mark Receipt For: Aggregate Year-to-Date ▼ Primary General 673.05 Other (specify) 173.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOR LI	NE NU	IMBER	:	PAGE	1	10 OF	30
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for each category of the Detailed Summary Page	X 11	a 🗌	11b		11c		12	
	13		14		15		16	17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) Cheryl L. Crispen Date of Receipt Mailing Address 1101 New York Ave NW 8th Floor 2016 16 City State Zip Code Transaction ID: 20160315112856-1006 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer Occupation EVP, Communication & Mktg Securities Industry and Financial Mark Receipt For: Aggregate Year-to-Date ▼ Primary General 673.05 Other (specify) Full Name (Last, First, Middle Initial) B. Cheryl L. Crispen Date of Receipt Mailing Address 1101 New York Ave NW 8th Floor 03 30 2016 City State Zip Code Transaction ID: 20160328111838-1006 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer Occupation Securities Industry and Financial Mark EVP, Communication & Mktg Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 673.05 Full Name (Last, First, Middle Initial) c. Michael Crowl Date of Receipt Mailing Address 28 Hillcrest Ave 03 03 2016 City State Zip Code Transaction ID: 7295FDF7F5804FA78CAB

5000.00

07901-2023

Group Managing Director/General Counse

Aggregate Year-to-Date ▼

NJ

С

Occupation

Summit

UBS

Receipt For:

FEC ID number of contributing

General

federal political committee.

Other (specify)

Name of Employer

Primary

5000.00

Amount of Each Receipt this Period

Memo Item

	FOF	R LINE	NU	MBER	:	PAGE	. 1	11	OF	30
Use separate schedule(s)	(che	ck only	or	ne)						
for each category of the Detailed Summary Page	×	11a		11b		11c		12		
		13		14		15		16	. [17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Ac	ction Committee
Full Name (Last, First, Middle Initial)	

/			
Α.	Full Name (Last, First, Middle Initial) Joseph D. Culley Jr.		Date of Receipt
	Mailing Address 1706 Rittenhouse Sq Unit 301		03 30 2016
	City Philadelphia	State Zip Code PA 19103-6196	Transaction ID : 7E8A25E5708F4408AF1F
	FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period 250.00
	Name of Employer	Occupation	Memo Item
	Janney Montgomery Scott LLC	Senior Vice President	
	Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	
В.	Full Name (Last, First, Middle Initial) Bradley G. Edgell		Date of Receipt
	Mailing Address 1101 New York Ave NW 8th Floor		03 16 2016
	City	State Zip Code	Transaction ID: 20160315112856-1007
	Washington	DC 20005	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	38.50
	Name of Employer	Occupation	Memo Item
	Securities Industry and Financial Mark	MD, Fed Gov't Relations	
	Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 269.50	
<u>с</u> .	Full Name (Last, First, Middle Initial) Bradley G. Edgell		Date of Receipt
	Mailing Address 1101 New York Ave NW 8th Floor		03 30 2016
	City	State Zip Code	Transaction ID: 20160328111838-1007
	Washington	DC 20005	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	38.50
	Name of Employer	Occupation	Memo Item
	Securities Industry and Financial Mark	MD, Fed Gov't Relations	
	Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 269.50	
<u></u>	SUBTOTAL of Receipts This Page (optional)		327.00
H	OTAL This Period (last page this line numbe	<u> </u>	

	FOF	R LINE	NU	MBER	:	PAGE	· 1	12 OF	:	30
Use separate schedule(s)	(che	ck only	or	ne)						
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
,		13		14		15		16		17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) Tracy H. Eichler Date of Receipt Mailing Address 120 Broadway 35th Floor 30 2016 City State Zip Code Transaction ID: 20160328111838-1008 NY New York 10271 Amount of Each Receipt this Period FEC ID number of contributing 43.48 federal political committee. Memo Item Name of Employer Occupation MD, Private Client Group Securities Industry and Financial Mark Receipt For: Aggregate Year-to-Date ▼ Primary General 231.61 Other (specify) Full Name (Last, First, Middle Initial) B. Francis Fairman IV Date of Receipt Mailing Address 800 Nicollett Maill 03 17 2016 City State Zip Code Transaction ID: 24AA8213FD9448C88E97 MN Minneapolis 55402 Amount of Each Receipt this Period FEC ID number of contributing 1000.00 federal political committee. Memo Item Name of Employer Occupation Piper Jaffray Head of Public Finance Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1000.00 Full Name (Last, First, Middle Initial) Marin Gibson Date of Receipt Mailing Address 120 Broadway 02 03 2016 35th Floor City Zip Code State Transaction ID: 2016022617829-1011

SUBTOTAL of Receipts This Page (optional)		Π.	_		Ŧ		-	1	139.63	3
	<u>*</u>	Ħ	-	,	÷	-	,	=		-
TOTAL This Period (last page this line number	only)		_	7	_	_	7	<u>—</u>		

673.05

10271

MD, Associate Gen Counsel

Aggregate Year-to-Date ▼

NY

С

Occupation

New York

Receipt For:

FEC ID number of contributing

Other (specify)

Securities Industry and Financial Mark

General

federal political committee.

Name of Employer

Primary

96.15

Amount of Each Receipt this Period

Memo Item

	FOR LINE NUMBER:	PAGE 13 OF	30
Use separate schedule(s)	(check only one)		
for each category of the Detailed Summary Page	X 11a 11b	11c 12	
	13 14	15 16	17

	the name and address of any political committee to					
NAME OF COMMITTEE (In Full)						
,	cial Markets Association Political Ad	ction Committee				
Full Name (Last, First, Middle Initial) Marin Gibson		Date of Receipt				
Mailing Address 120 Broadway		M = M / D = D / Y = Y = Y				
35th Floor	0	03 16 2016				
City New York	State Zip Code NY 10271	Transaction ID : 20160315112856-1011				
	10271	Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.	С	96.15				
Name of Employer	Occupation	Memo Item				
Securities Industry and Financial Mark	MD, Associate Gen Counsel					
Receipt For:	Aggregate Year-to-Date ▼					
Primary General Other (specify) ▼	673.05					
Full Name (Last, First, Middle Initial) Marin Gibson		Date of Receipt				
Mailing Address 120 Broadway 35th Floor		03 30 _2016 _				
City	State Zip Code	Transaction ID : 20160328111838-1011				
New York	NY 10271	Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.	ů – – – – – – – – – – – – – – – – – – –					
Name of Employer	Occupation	Memo Item				
Securities Industry and Financial Mark	MD, Associate Gen Counsel					
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 673.05					
Full Name (Last, First, Middle Initial) C. Christopher B. Killian		Date of Receipt				
Mailing Address 120 Broadway		03 16 2016				
35th Floor City	State Zip Code	Transaction ID : 20160315112856-1013				
New York	NY 10271	Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.	С	38.50				
Name of Employer	Occupation	Memo Item				
Securities Industry and Financial Mark	MD, Head of Securitization					
Receipt For:	Aggregate Year-to-Date ▼	1				
Primary General Other (specify) ▼	269.50					
SUBTOTAL of Receipts This Page (optional)		230.80				
TOTAL This Period (last page this line numb	er only)	7 7 7				

FOR LINE NUMBER: PAGE 14 OF 30 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) Christopher B. Killian Date of Receipt Mailing Address 120 Broadway 35th Floor 30 2016 City Zip Code State Transaction ID: 20160328111838-1013 NY New York 10271 Amount of Each Receipt this Period FEC ID number of contributing 38.50 federal political committee. Memo Item Name of Employer Occupation MD, Head of Securitizaton Securities Industry and Financial Mark Receipt For: Aggregate Year-to-Date ▼ Primary General 269.50 Other (specify) Full Name (Last, First, Middle Initial) B. David B. Krasner Date of Receipt Mailing Address 120 Broadway 35th Floor 03 02 2016 City State Zip Code Transaction ID: 2016022617829-1014 NY New York 10271 Amount of Each Receipt this Period FEC ID number of contributing 192.35 federal political committee. Memo Item Name of Employer Occupation Securities Industry and Financial Mark Chief Fin/Admin Officer Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1346.45 Full Name (Last, First, Middle Initial) c. David B. Krasner Date of Receipt Mailing Address 120 Broadway 35th Floor 16 2016 City Zip Code State Transaction ID: 20160315112856-1014 NY New York 10271 Amount of Each Receipt this Period FEC ID number of contributing С 192.35 federal political committee. Memo Item Name of Employer Occupation Chief Fin/Admin Officer Securities Industry and Financial Mark Receipt For: Aggregate Year-to-Date ▼ Primary General 1346.45 Other (specify) 423.20 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOF	R LINE	NU	MBER	:	PAGE	. 1	15 OI	=	30
Use separate schedule(s)	(che	ck only	or	ne)						
for each category of the Detailed Summary Page	×	11a		11b		11c		12		
		13		14		15		16		17

Any information copied from such Reports and St or for commercial purposes, other than using the	atements may not be sold or used by any personame and address of any political committee to	
NAME OF COMMITTEE (In Full)		
angle Securities Industry and Financia	I Markets Association Political Act	ion Committee
Full Name (Last, First, Middle Initial) A. David B. Krasner		Date of Receipt
Mailing Address 120 Broadway 35th Floor		03 30 _ 2016 _
City	State Zip Code	Transaction ID : 20160328111838-1014
New York	NY 10271	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	192.35
Name of Employer	Occupation	Memo Item
Securities Industry and Financial Mark	Chief Fin/Admin Officer	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	00 0	
Other (specify) ▼	1346.45	
Full Name (Last, First, Middle Initial) Nancy J. Lancia		Date of Receipt
Mailing Address 120 Broadway		M = M / D = D / Y = Y = Y
35th Floor	State 7in Code	03 16 2016
City	State Zip Code NY 10271	Transaction ID : 20160315112856-1015
New York	NY 10271	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	38.50
Name of Employer	Occupation	Memo Item
Securities Industry and Financial Mark	MD, State Gov Relations	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General		
Other (specify) ▼	269.50	
Full Name (Last, First, Middle Initial) C. Nancy J. Lancia		Date of Receipt
Mailing Address 120 Broadway		M = M / D = D / Y = Y = Y
35th Floor		03 30 2016
City	State Zip Code	Transaction ID: 20160328111838-1015
New York	NY 10271	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	38.50
Name of Employer	Occupation	Memo Item
Securities Industry and Financial Mark	MD, State Gov Relations	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General		
Other (specify) ▼	269.50	
SUBTOTAL of Receipts This Page (optional)	>	269.35
TOTAL This Period (last page this line number of	only)	

	FOF	LINE	NU	MBER	:	PAGE	1	6 OF	= 3	0
Use separate schedule(s)	(che	ck only	or	ne)						
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16	1	7

Any information copied from such Reports and or for commercial purposes, other than using the	Statements may not be sold or used by any persone name and address of any political committee t	son for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Securities Industry and Finance	ial Markets Association Political A	ction Committee
Full Name (Last, First, Middle Initial) Theodore Lazo Mailing Address 1101 New York Ave NW 8th Floor City Washington FEC ID number of contributing	State Zip Code DC 20005	Date of Receipt M = M
federal political committee. Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify) ▼	Occupation MD, Associate Gen Counsel Aggregate Year-to-Date ▼ 269.50	Memo Item
Full Name (Last, First, Middle Initial) Theodore Lazo Mailing Address 1101 New York Ave NW 8th Floor City Washington FEC ID number of contributing federal political committee. Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify)	State Zip Code DC 20005 C Occupation MD, Associate Gen Counsel Aggregate Year-to-Date ▼ 269.50	Date of Receipt 03 30 2016 Transaction ID: 20160328111838-1016 Amount of Each Receipt this Period 38.50 Memo Item
Full Name (Last, First, Middle Initial) Melissa A. MacGregor Mailing Address 1101 New York Ave NW Ste 800 City Washington FEC ID number of contributing federal political committee. Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify)	State Zip Code DC 20005-4279 C Occupation Managing Dir. & Assoc. General Counsel Aggregate Year-to-Date ▼ 250.00	Date of Receipt 03 13 2016 Transaction ID: 8FF3FF6D75114D499195 Amount of Each Receipt this Period 250.00 Memo Item
SUBTOTAL of Receipts This Page (optional)	>	327.00
TOTAL This Period (last page this line number	r only)	

FOR LINE NUMBER: PAGE 17 OF 30 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) Laura A. Martin Date of Receipt Mailing Address 120 Broadway 35th Floor 2016 City State Zip Code Transaction ID: 20160315112856-1017 NY New York 10271 Amount of Each Receipt this Period FEC ID number of contributing 38.50 federal political committee. Memo Item Name of Employer Occupation MD, AGC AMG Securities Industry and Financial Mark Receipt For: Aggregate Year-to-Date ▼ Primary General 269.50 Other (specify) Full Name (Last, First, Middle Initial) B. Laura A. Martin Date of Receipt Mailing Address 120 Broadway 35th Floor 03 30 2016 City State Zip Code Transaction ID: 20160328111838-1017 NY New York 10271 Amount of Each Receipt this Period FEC ID number of contributing 38.50 federal political committee. Memo Item Name of Employer Occupation Securities Industry and Financial Mark MD, AGC AMG Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 269,50 Full Name (Last, First, Middle Initial) c. Carter K. McDowell Date of Receipt Mailing Address 1101 New York Ave NW 02 8th Floor 2016 City Zip Code State Transaction ID: 2016022617829-1018 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing С 288.46 federal political committee. Memo Item Name of Employer Occupation Securities Industry and Financial Mark MD, Associate Gen Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 2019.22 Other (specify) 365.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOF	R LINE	NU	IMBER	:	PAGE	1	18 OF	30
Use separate schedule(s)	(che	ck only	or or	ne)					
for each category of the Detailed Summary Page	×	11a		11b		11c		12	
		13		14		15		16	17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Α.	Full Name (Last, First, Middle Initial) Carter K. McDowell		Date of Receipt
	Mailing Address 1101 New York Ave NW 8th Floor		03 16 2016
	City Washington	State Zip Code DC 20005	Transaction ID : 20160315112856-1018 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	288.46
	Name of Employer Securities Industry and Financial Mark	Occupation MD, Associate Gen Counsel	Memo Item
	Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 2019.22	
В.	Full Name (Last, First, Middle Initial) Carter K. McDowell		Date of Receipt
	Mailing Address 1101 New York Ave NW 8th Floor		03 30 2016
	City Washington	State Zip Code DC 20005	Transaction ID : 20160328111838-1018 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С	288.46
	Name of Employer Securities Industry and Financial Mark	Occupation MD, Associate Gen Counsel	Memo Item
	Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 2019.22	
-	Full Name (Last, First, Middle Initial) Timothy P. O'Hara		Date of Receipt
	Mailing Address 277 Park Ave Fl 11		03 28 2016
	City New York	State Zip Code NY 10172-0003	Transaction ID : 4627FEC7CE3E4AB6BC Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	5000.00	
	Name of Employer	Memo Item	
	Credit Suisse (USA), Inc Receipt For: Primary General Other (specify) ▼	Managing Director Aggregate Year-to-Date ▼ 5000.00	

TOTAL This Period (last page this line number only).....

	FOR	R LINE	NU	IMBER	:	PAGE	_ 1	19 OI	=	30
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for each category of the Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16		17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) Thomas F. Price Date of Receipt Mailing Address 120 Broadway 35th Floor 02 2016 City State Zip Code Transaction ID: 2016022617829-1020 NY New York 10271 Amount of Each Receipt this Period FEC ID number of contributing 43.48 federal political committee. Memo Item Name of Employer Occupation MD, Tech & Operations Securities Industry and Financial Mark Receipt For: Aggregate Year-to-Date ▼ Primary General 289.42 Other (specify) Full Name (Last, First, Middle Initial) B. Thomas F. Price Date of Receipt Mailing Address 120 Broadway 35th Floor 03 16 2016 City State Zip Code Transaction ID: 20160315112856-1020 NY New York 10271 Amount of Each Receipt this Period FEC ID number of contributing 43.48 federal political committee. Memo Item Name of Employer Occupation Securities Industry and Financial Mark MD, Tech & Operations Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 289,42 Full Name (Last, First, Middle Initial) Thomas F. Price Date of Receipt Mailing Address 120 Broadway 30 03 2016 35th Floor City Zip Code State Transaction ID: 20160328111838-1020 NY New York 10271 Amount of Each Receipt this Period FEC ID number of contributing С 43.48 federal political committee. Memo Item Name of Employer Occupation MD, Tech & Operations Securities Industry and Financial Mark Receipt For: Aggregate Year-to-Date ▼ Primary General 289.42 Other (specify)

SUBTOTAL of Receipts This Page (optional).....

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	F	ЭR	LINE	NU	MBER	:	PAGE	2	20 OF	30
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for each category of the Detailed Summary Page		X	11a		11b		11c		12	
			13		14		15		16	17

	Statements may not be sold or used by any per ne name and address of any political committee	
NAME OF COMMITTEE (In Full) Securities Industry and Finance	ial Markets Association Political A	ction Committee
Full Name (Last, First, Middle Initial) Paul C. Reilly Mailing Address 880 Carillon Pkwy City Saint Petersburg FEC ID number of contributing federal political committee. Name of Employer Raymond James Financial, Inc. Receipt For: Primary General Other (specify)	State Zip Code FL 33716-1102 C Occupation Chief Executive Officer Aggregate Year-to-Date ▼ 5000.00	Date of Receipt 03 21 2016 Transaction ID: AE03BDED97634328BE99 Amount of Each Receipt this Period 5000.00 Memo Item
Full Name (Last, First, Middle Initial) John F.W. Rogers Mailing Address 85 Broad St FI 11 City New York FEC ID number of contributing federal political committee. Name of Employer Goldman (The) Sachs Group, Inc. Receipt For: Primary General Other (specify)	State Zip Code NY 10004-2434 C Occupation Managing Director Aggregate Year-to-Date ▼ 5000.00	Date of Receipt 03 21 2016 Transaction ID: D08C95D55F724F86BEA4 Amount of Each Receipt this Period 5000.00 Memo Item
Full Name (Last, First, Middle Initial) Joseph E. Sweeney Mailing Address 50611 Ameriprise Financial City Minneapolis FEC ID number of contributing federal political committee. Name of Employer Ameriprise Financial, Inc. Receipt For: Primary General Other (specify)	Ctr State Zip Code MN 55474-0506 C Occupation President, Advice & Wealth Management Aggregate Year-to-Date ▼ 5000.00	Date of Receipt Mark
SUBTOTAL of Receipts This Page (optional)	• • • • • • • • • • • • • • • • • • •	15000.00
TOTAL This Period (last page this line number	or only)	30920.75

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SCHEDULE A (FEC Form 3X TEMIZED RECEIPTS	Use separate schedule(s) for each category of the	FOR LINE NUMBER: PAGE 21 OF 30 (check only one)
	Detailed Summary Page	13 14 15 16 1
		y person for the purpose of soliciting contributions ittee to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Securities Industry and Finan	cial Markets Association Politica	al Action Committee
Full Name (Last, First, Middle Initial) Morgan Stanley Political Action Con	mmittee	Date of Receipt
Mailing Address 1585 Broadway 39th Floor		03 23 2016
City New York	State Zip Code NY 10036	Transaction ID : FB2F1DB643454D0D8C Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C C00337626	5000.00
Name of Employer	Occupation	Memo Item
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	5000.00	
Full Name (Last, First, Middle Initial) New York Life Insurance Compar	Date of Receipt	
Mailing Address 51 Madison Avenue Room 1109		03 23 2016
City New York	State Zip Code NY 10010	Transaction ID : A8E6E543D3EB4AD386 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C C00158881	5000.00
Name of Employer	Occupation	Memo Item
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	5000.00	
Full Name (Last, First, Middle Initial) The Goldman Sachs Group, Inc	c. Political Action Committee	Date of Receipt
Mailing Address 101 Constitution Avenue, N Suite 1000 East	NW	03 21 2016
City Washington	State Zip Code DC 20001	Transaction ID : 9BE53C6C7FBC4481AF
FEC ID number of contributing federal political committee.	C C00350744	Amount of Each Receipt this Period 5000.00
Name of Employer	Occupation	Memo Item
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼		
SUBTOTAL of Receipts This Page (optional)		15000.00
FOTAL This Period (last page this line numb	per only)	

	CHEDULE A (FEC Form 3X)		Use separate schedule(s)	FOR LINE NUMBER: PAGE 22 OF 30 (check only one)					
-	EMIZED RECEIPTS		for each category of the Detailed Summary Page	11a 11b X 11c 12 13 14 15 16 17					
	y information copied from such Reports and St. for commercial purposes, other than using the								
	NAME OF COMMITTEE (In Full) Securities Industry and Financia	l Markets	s Association Political	Action Committee					
Α.	Full Name (Last, First, Middle Initial) The Vanguard Group Committee for R	esponsible	e Government	Date of Receipt					
	Mailing Address 975 F Street NW Suite 500 City	State	Zip Code	03 03 2016 2					
	Washington	DC	20004-1457	Transaction ID : D69E797A95A544C794AF Amount of Each Receipt this Period					
	FEC ID number of contributing federal political committee.	C coo	0410266	5000.00					
	Name of Employer	Occupation		Memo Item					
	Receipt For:	Aggregate	Year-to-Date ▼						
	Primary General Other (specify) ▼		5000.00						
В.	Full Name (Last, First, Middle Initial) U.S. Bancorp Federal Political Action	Date of Receipt							
	Mailing Address 950 F Street NW Suite 750 City	03 30 2016							
	Washington	State DC	Zip Code 20004	Transaction ID: 3DAA979914D846DAB969 Amount of Each Receipt this Period					
	FEC ID number of contributing federal political committee.	C coo)488882	2500.00					
	Name of Employer	Occupation		Memo Item					
	Receipt For: Primary General	Aggregate	Year-to-Date ▼						
	Other (specify) ▼		2500.00						
C.	Full Name (Last, First, Middle Initial)			Date of Receipt					
	Mailing Address	Otata	7's Oads	M = M / D = D / Y = Y = Y					
	City	State	Zip Code	Amount of Each Receipt this Period					
	FEC ID number of contributing federal political committee.	С							
	Name of Employer	Occupation		Memo Item					
	Receipt For: Primary General	Aggregate	Year-to-Date ▼						
Other (specify) ▼			<u> </u>						
s	UBTOTAL of Receipts This Page (optional)			7500.00					
T,	OTAL This Period (last page this line number of	mls ()		22500.00					

TOTAL This Period (last page this line number only).....

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SCHEDULE A (FEC Form ITEMIZED RECEIPTS	3X)	Use separate schedule(s) for each category of the	FOR LINE NUMBER: PAGE 23 OF 30 (check only one)
		Detailed Summary Page	11a 11b 11c 12 13 14 X 15 16 17
or for commercial purposes, other than u NAME OF COMMITTEE (In Full)	sing the name and add	dress of any political committee	erson for the purpose of soliciting contributions e to solicit contributions from such committee.
Securities Industry and Fire	nancial Markets	Association Political	Action Committee
Full Name (Last, First, Middle Initial) A. SIFMA			Date of Receipt
Mailing Address 1101 New York Avenues 8th Floor	·	7in Code	03 25 2016
City Washington	State DC	Zip Code 20005	Transaction ID : B97C921C4AE64CCD87 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		197.48
Name of Employer	Occupation		Memo Item Reimbursement of Bank Fees
Receipt For: Primary General	Aggregate Y	ear-to-Date ▼	1
Other (specify) ▼	L,	424.59	
Full Name (Last, First, Middle Initial) B.			Date of Receipt
Mailing Address			M M / D D / Y Y Y Y
City	State	Zip Code	Avenues of Fook Bookins this Books
FEC ID number of contributing federal political committee.	С		Amount of Each Receipt this Period Memo Item
Name of Employer	Occupation		Mello Itelli
Receipt For: Primary General Other (specify) ▼	Aggregate Yo	ear-to-Date ▼]
Full Name (Last, First, Middle Initial)			Date of Receipt
Mailing Address			M = M / D = D / Y = Y = Y
City	State	Zip Code	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		Momestrom
Name of Employer	Occupation		Memo Item
Receipt For: Primary General Other (specify) ▼		ear-to-Date ▼	
SUBTOTAL of Receipts This Page (opti	onal))	197.48
TOTAL This Period (last nage this line)	aumhar anlu)	·	197.48

TOTAL This Period (last page this line number only).....

SCHEDULE B (FEC Form 3X)	Use separate schedule(s)	FOR LINE	PAGE 24 OF 30	
ITEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	(check only 21b 27	one) 22 23 28a 28b	24 25 26 28c 29 30l
Any information copied from such Reports and Statem or for commercial purposes, other than using the name				
NAME OF COMMITTEE (In Full) Securities Industry and Financial M	· · ·			
Full Name (Last, First, Middle Initial) A. PNC Bank			Date of Disburse	ment
Mailing Address 1400 K Street, NW			03 0	
Washington	State Zip Code DC 20005		Transaction ID	: B10EDF3905DA978509E
Purpose of Disbursement Bank Fee		001	Amount of Each	Disbursement this Period
Candidate Name		Category/ Type	, ,	3.00
	nent For: Primary General Other (specify) ▼		Memo Item	
State: District:				
B. PNC Bank Mailing Address 1400 K Street, NW			Date of Disburse	D / Y Y Y Y Y
	State 7's Code		03 0.	2010
,	State Zip Code DC 20005		Transaction ID	: 23BFF8FCD7F26AEBBC
Purpose of Disbursement Bank Fees		001	Amount of Each	Disbursement this Period
Candidate Name		Category/ Type		164.98
	nent For: Primary General Other (specify)		Memo Item	
Full Name (Last, First, Middle Initial) C. PNC Bank			Date of Disburse	
Mailing Address 1400 K Street, NW			03 / 07	
•	State Zip Code DC 20005		Transaction ID	: B93063DF768A7A33A45
Credit Card Fee Candidate Name		001 Category/	Amount of Each	Disbursement this Period
		Туре		29.50
	nent For: Primary General Other (specify)		Memo Item	
SUBTOTAL of Disbursements This Page (optional)				197.48
TOTAL This Period (last page this line number only).				197.48

SCHEDULE B (FEC Form 3X)		FOR LINE	NUMBER: PAGE 25 OF 30	
TEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only one)		
	Detailed Summary Page	21b	22 X 23 24 25 26	
		27	28a 28b 28c 29 30b	
Any information copied from such Reports and Statem or for commercial purposes, other than using the nam				
NAME OF COMMITTEE (In Full)	addition of any pointed			
Securities Industry and Financial M	arkets Association F	Political Ac	tion Committee	
/				
Full Name (Last, First, Middle Initial)			5	
A. Beatty for Congress			Date of Disbursement	
Mailing Address 222 East Town Street			03 10 2016	
Suite 2W			2010	
,	State Zip Code		Transaction ID : B0CAAADE75539B39C4D	
	OH 43215		Transaction in . BUCKAKDE / 3333B33C4D	
Purpose of Disbursement 2016 Primary		011	Amount of Each Disbursement this Period	
Candidate Name			, and are of Each Dispursonient this I chou	
Joyce Beatty		Category/ Type	1000.00	
	nent For: 2016		Memo Item	
	Primary General			
	Other (specify) ▼			
State: OH District: 03				
Full Name (Last, First, Middle Initial) Bob Corker for Senate 2018 Inc			Date of Disbursement	
DOD COIKEI TOI SEHATE 2010 INC			M M / D D / Y Y Y Y	
Mailing Address 1015 Stonebridge Park Dr			03 14 2016	
,	State Zip Code TN 37069-4001		Transaction ID : BB28D75E7E408F1666D	
Purpose of Disbursement	37009-4001			
2018 Primary		011	Amount of Each Disbursement this Period	
Candidate Name		Category/	2500.00	
Bob P. Corker Jr.		Type	2500.00	
	nent For: 2018		Memo Item	
	Primary General Other (specify) ▼			
State: TN District:	Other (specify) ▼			
Full Name (Last, First, Middle Initial)				
Emmer for Congress			Date of Disbursement	
			M = M / D = D / Y = Y = Y	
Mailing Address PO Box 998			03 10 2016	
City	State Zip Code			
Anoka I	MN 55303		Transaction ID : 9E662C0E9E443F2F462	
Purpose of Disbursement				
2016 Primary		011	Amount of Each Disbursement this Period	
Candidate Name Thomas Earl Emmer Jr.		Category/	1000.00	
	nent For: 2016	Туре		
	Primary General		Memo Item	
	Other (specify) ▼			
State: MN District: 06	· 			
·				
SUBTOTAL of Disbursements This Page (optional)			4500.00	
TOTAL This Period (last nage this line number only)				

SCHEDULE B (FEC Form 3X)		FOR LINE	NUMBER: PAGE 26 OF 30	
TEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(s) (check only one)		
	Detailed Summary Page	21b	22 X 23 24 25 26	
		27	28a 28b 28c 29 30b	
Any information copied from such Reports and Staten or for commercial purposes, other than using the name				
NAME OF COMMITTEE (In Full)	io and address of any points	ar committee to	construction from each committee.	
Securities Industry and Financial M	larkets Association	Political Ac	tion Committee	
Decumes madsify and i mandarivi	iaikets Association	i Oilticai Ac	don committee	
Full Name (Last, First, Middle Initial)				
A. Filemon Vela for Congress			Date of Disbursement	
Mailing Address 10715 Gulfdale St			03 10 2016	
Ste 235			2010	
City	State Zip Code		Transaction ID : 25B0435908B78647607	
San Antonio	TX 78216		11d115dCtiOi1 ID . 25B0455900B10041001	
Purpose of Disbursement 2016 General		011	Amount of Each Disbursement this Period	
Candidate Name			Amount of Lacif Dispulsement this Fellou	
Filemon Bartolome Vela Jr.		Category/ Type	1000.00	
	nent For: 2016	71" -	Memo Item	
Senate	Primary			
	Other (specify) ▼			
State: TX District: 34				
Full Name (Last, First, Middle Initial)			Date of Disbursement	
Friends for Gregory Meeks				
Mailing Address 153-01 Jamaica Ave. Suite 535			03 10 _ 2016 _	
	State Zip Code		Transaction ID: F16D5E7CF53F71D0DF9	
Jamaica Purpose of Disbursement	NY 11432			
2016 General		011	Amount of Each Disbursement this Period	
Candidate Name		Category/		
Gregory Weldon Meeks		Type	2500.00	
	nent For: 2016		Memo Item	
	Primary General		_	
	Other (specify) ▼			
State: NY District: 05 Full Name (Last, First, Middle Initial)				
George Holding for Congress Inc.			Date of Disbursement	
Conge Flording for Congress inc.			M M / D D / Y Y Y Y	
Mailing Address PO Box 97187			03 10 2016	
City	State Zin Code			
,	State Zip Code NC 27624		Transaction ID: 387BFAB79BD5BC02942	
Purpose of Disbursement				
2016 Primary		011	Amount of Each Disbursement this Period	
Candidate Name		Category/	1000.00	
George Edward Bell Holding	ant For: 2242	Туре	1000.00	
	nent For: 2016 Primary General		Memo Item	
President	Other (specify)			
State: NC District: 02	(-i: 3/ ▼			
SUBTOTAL of Disbursements This Page (optional)			4500.00	
TOTAL This Period (last page this line number only)		▶		

SCHEDULE B (FEC Form 3X)		FOR LINE N	NUMBER: PAGE 27 OF 30	
TEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only one)		
_	Detailed Summary Page	21b	22 🗙 23 24 25 26	
Anna information control from the Decision Control		27	28a 28b 28c 29 30b	
Any information copied from such Reports and Statem or for commercial purposes, other than using the name				
NAME OF COMMITTEE (In Full)				
Securities Industry and Financial M	larkets Association P	olitical Act	ion Committee	
Full Name (Last, First, Middle Initial)	· · · · · ·		B (B) .	
A. Huizenga for Congress			Date of Disbursement	
Mailing Address PO Box 254			03 10 2016	
	State Zip Code		Transaction ID : ED55567B72A338F79F9	
Zeeland Purpose of Disbursement	MI 49464-1509			
2016 Primary		011	Amount of Each Disbursement this Period	
Candidate Name] "	Category/	1000.00	
William Patrick Huizenga Office Sought:	nent For: 2016	Туре		
Senate	Primary General		Memo Item	
State: MI District: 02	Other (specify) ▼			
Full Name (Last, First, Middle Initial)				
Huizenga for Congress			Date of Disbursement	
Mailing Address PO Box 254			03 / 14 / 2016	
Zeeland	State Zip Code MI 49464-1509		Transaction ID : CF7217C60AB93D6243B	
Purpose of Disbursement 2016 Primary		011	Amount of Each Disbursement this Period	
Candidate Name		Category/	1500.00	
William Patrick Huizenga	and Fam. 55:5	Туре		
Senate	nent For: 2016 Primary General Other (specify)		Memo Item	
Full Name (Last, First, Middle Initial)				
Firk for Senate			Date of Disbursement	
Mailing Address PO Box 2594			03 10 2016	
City S Chicago	State Zip Code IL 60690		Transaction ID : 11ED70DB216ED0D300C	
Purpose of Disbursement 2016 Primary	33330	011	Assessed of Early Dish	
Candidate Name			Amount of Each Disbursement this Period	
Mark Steven Kirk		Category/ Type	500.00	
X Senate	nent For: 2016 Primary General Other (specify)		Memo Item	
State: IL District:	VI - 77 ▼			
SUBTOTAL of Disbursements This Page (optional)		<u>-</u>	3000.00	
TOTAL This Period (last page this line number only)			7	

SCHEDULE B (FEC Form 3X)	Use separate schedule(s)	FOR LINE I	
ITEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	(check only 21b 27	one) 22 X 23 24 25 26 28a 28b 28c 29 30
Any information copied from such Reports and Staten or for commercial purposes, other than using the name			
NAME OF COMMITTEE (In Full)	ie and address of any poilti	oai committee 10	Solicit contributions from Such committee.
Securities Industry and Financial M	larkets Association	Political Ac	tion Committee
Full Name (Last, First, Middle Initial)			Date of Dishurament
A. Kirk for Senate			Date of Disbursement
Mailing Address PO Box 2594			03 14 2016
,	State Zip Code		Transaction ID : 05CE37314333AFC196E
Chicago	IL 60690		Transaction ID . 03CE37314333AFC190E
Purpose of Disbursement 2016 General		011	Amount of Each Disbursement this Period
Candidate Name Mark Steven Kirk		Category/	1000.00
	ment For: 2016	Туре	Manua kam
Senate President	Primary ☐ General Other (specify) ▼		Memo Item
State: IL District:			
Full Name (Last, First, Middle Initial)			Data of Diabura
3. Moderate Democrats PAC			Date of Disbursement
Mailing Address 303 Massachusetts Avenue, NE			03 10 2016
City	State Zip Code		Transaction ID: 026ABF35EC5A636F619
Washington	DC 20002		1141134041011 ID . 020ADF33E03A030F018
Purpose of Disbursement 2016 Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	5000.00
Moderate Democrats PAC	nont For: 2040	Туре	
Senate	nent For: 2016 Primary General Other (specify)		Memo Item
State: District:	Contribution		
Full Name (Last, First, Middle Initial) C. More Conservatives PAC (MCPAC			Date of Disbursement
Mailing Address 228 S Washington St Ste 115			03 10 7 2016
•	State Zip Code VA 22314		Transaction ID: 1B339A6A29EE359345C
Purpose of Disbursement			
2016 Contribution		011	Amount of Each Disbursement this Period
Candidate Name More Conservatives PAC (MCPAC	3)	Category/	2500.00
•	nent For: 2016	Туре	
Senate President	Primary General Other (specify) ▼		Memo Item
State: District:	Contribution		
SUBTOTAL of Disbursements This Page (optional)		·····•	8500.00
TOTAL This Period (lest page this line number only)		_	
TOTAL This Period (last page this line number only)			

for each of Detailed States may not be and address.	ess of any polition	cal committee to	22 X 23 24 25 26 28a 28b 28c 29 30 on for the purpose of soliciting contributions solicit contributions from such committee. tion Committee
e and addre	ess of any polition	cal committee to	solicit contributions from such committee.
			Date of Disbursement
			03 10 2016
	Zip Code 54901		Transaction ID: 42C4731FB73837B0FDD
		011	Amount of Each Disbursement this Period
		Category/ Type	1000.00
Primary	General		Memo Item
			Date of Disbursement
			03 10 2016
	Zip Code 43220-8113		Transaction ID : EE249096ECBEC124A0
		011	Amount of Each Disbursement this Period
		Category/ Type	1500.00
Primary	General	77.	Memo Item
			Date of Disbursement
			03 10 2016
	Zip Code 27113		Transaction ID : BD40F3B6662F96EF9E5
		011	Amount of Each Disbursement this Period
		Category/ Type	3000.00
Primary	General		Memo Item
			5500.00
	Primary Other (specification) Dent For: 2 Primary Other (specification) Dent For: 2 Primary Other (specification) Dent For: 2 Primary Other (specification)	nent For: 2016 Primary General Other (specify) nent For: 2016 Other (specify) other (specify) other General Other (specify) other (specify) other (specify) other (specify) other (specify) other (specify) other (specify)	WI 54901 O11 Category/ Type Type Type Type Category/ Type

SCHEDULE B (FEC Form 3X)	Hoo concrete och adula/-\	FOR LINE		PAGE 30 OF 30	
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	one) 22 🔀 23	23 24 25 26	
	Detailed Summary Page	27	28a 28b	28c 29 30	
Any information copied from such Reports and Staten					
or for commercial purposes, other than using the nam	ne and address of any politica	al committee to	solicit contributions f	rom such committee.	
NAME OF COMMITTEE (In Full)	larkata Association [Dalitiaal Aa	tion Committee		
$\Big angle$ Securities Industry and Financial M	iarkets Association F	Political Ac	uon Committee	2	
Full Name (Last, First, Middle Initial)			D . (D).		
A. VoteTipton.Com			Date of Disburserr		
Mailing Address PO Box 1582			03 10	2016	
,	State Zip Code		Transaction ID :	AB553B3E270028183E4	
Cortez Purpose of Disbursement	CO 81321-1582		Transaction ib .	AB333B3E270020103E4	
2016 Primary		011	Amount of Each D	isbursement this Period	
Candidate Name		Category/		1000.00	
Scott Randall Tipton		Туре		1000.00	
	nent For: 2016 Primary General		Memo Item		
President	Other (specify)				
State: CO District: 03	(-				
Full Name (Last, First, Middle Initial)					
В.			Date of Disbursem	nent	
Mailing Address			M = M / D = D	/	
Mailing Address					
City	State Zip Code				
Purpose of Disbursement					
·			Amount of Each D	isbursement this Period	
Candidate Name		Category/			
Office Sought: House Disbursen	ant Fam	Туре		, , , , ,	
	Primary General		Memo Item		
	Other (specify)				
State: District:					
Full Name (Last, First, Middle Initial)					
C.			Date of Disbursen		
Mailing Address			M M / D D	/	
City	State Zip Code				
Purpose of Disbursement					
Candidate Name			Amount of Each D	isbursement this Period	
		Category/ Type			
Office Sought: House Disbursen	nent For:		Memo Item		
	Primary General		_		
	Other (specify) ▼				
State: District:					
SUBTOTAL of Disbursements This Page (optional)				1000.00	
TOTAL This Period (last page this line number only)			1	27000.00	